

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yutaka KANEKO

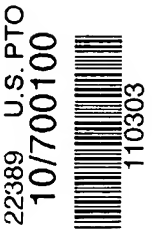
Serial No: Not assigned

Filed: November 3, 2003

For: Optical Disk Drive, Optical Disk, Security Control Method for Optical  
Disk Drive, and Security Control Program for Optical Disk Drive

Art Unit: Not assigned

Examiner: Not assigned



CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL  
"Express Mail" Mailing Label No. EV 324 110 896 US  
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Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 942 as filing fee
- ☒ patent application ( 21 page(s) of specification; 11 claim(s); 1 page(s) of abstract
- ☒ 8 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ assignment of the invention to SANYO ELECTRIC CO., LTD.
- ☒ certified copy of Japanese patent application No. 2002-360851 which was filed  
December 12, 2002 from which priority is claimed in the subject case pursuant to 35  
U.S.C. § 119
- ☒ Information Disclosure Statement with 1 reference
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee"  
service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

Date: November 3, 2003

Hogan & Hartson, LLP  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
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Reynaldo Gallardo

Name of person mailing papers

  
Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

**CORRESPONDENCE ADDRESS:**☒ Customer Number**000026021**

Transmitted herewith for filing is the patent application identified above.

☒ 8 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.☒ 21 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.☒ An assignment of the invention to SANYO ELECTRIC CO., LTD. ☒ is enclosed ☐ will follow.☐ An associate power of attorney ☐ is enclosed ☐ will follow.☐ Applicant claims small entity status. See 37 CFR 1.27.☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.☒ A certified copy of Japanese Patent Application No. 2002-360851 filed December 12, 2002 from which priority is claimed under 35 U.S.C. § 119 is enclosed.☒ IDS enclosed (☒ with 1 reference(s)).☐ Preliminary Amendment is enclosed.☐ Application data sheet is enclosed. See 37 CFR 1.76.☒ Return postcard is enclosed.

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	11	-20	0	LG=\$18 SM=\$9	\$18	\$ 0	
B	INDEPENDENT CLAIMS FEE*	5	-3	2	LG=\$86 SM=\$43	\$86	172	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							172
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$290 SMALL ENTITY FEE = \$145		\$ 0
E	BASIC FEE					LARGE ENTITY FEE = \$770 SMALL ENTITY FEE = \$385		\$ 770
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 942
G	ASSIGNMENT RECORDING FEE							\$ 40
*LIST INDEPENDENT CLAIMS 1, 4, 5, 6, and 9.								

☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.☒ A check in the amount of \$ 942.00 to cover the filing fee is enclosed.☒ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**☒ Any additional filing fees required under 37 C.F.R. 1.16☒ Any patent application processing fees under 37 C.F.R. 1.17Respectfully submitted,  
HOGAN & HARTSON LLPBy: Anthony J. Orer  
Registration No. 61,232  
Attorney for Applicant(s)

Date: November 3, 2003

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